

## B. Additional information

This section contains additional information required for annual reporting purposes that is not in the main body of this report. It covers:

- *Public Sector Ethics Act 1994*
- workforce planning and performance data
- record keeping
- details of the Queensland Audit Office’s Audit and Risk Management Committee.

### Public Sector Ethics Act 1994

We are a signatory of the whole-of-government code of conduct established under the *Public Sector Ethics Act 1994*. We adhere to the Public Service Code of Conduct 2011 and a code of ethics for professional accountants.

To proactively safeguard and promote ethical conduct, we:

- undertake pre-employment screening on all contractors and employees, including criminal history and reference checks
- require employees to complete a comprehensive independence declaration, including details to help us manage any potential conflicts of interest
- train new recruits in public sector ethics and the Public Service Code of Conduct 2011, and provide refresher training on ethical decision-making
- operate as an integrity agency and routinely discuss issues of ethics and integrity among our workforce and clients.

Our internal policies, procedures, and practices align with ethical principles and values. Our auditors also apply APES 110 Code of Ethics for Professional Accountants as issued by the Accounting Professional and Ethical Standards Board.

### Workforce planning and performance data

The Queensland Audit Office takes a strategic planning approach to workforce management, in which we focus on capability and capacity. Each year, we review our workforce needs during our business planning process. This section provides data on our workforce.

**Workforce numbers at 30 June 2020**

| Measure  | Value |
|--|-------|
| Minimum Obligatory Human Resource Information (MOHRI)*—effective headcount     | 205   |
| Minimum Obligatory Human Resource Information*—effective full-time equivalents | 190   |

\*MOHRI measures are for public service reporting purposes.

During 2019–20, our separation rate for permanent employees was 12.17 per cent. No redundancy, early retirement, or retrenchment packages were paid.



The below table provides the Queensland Audit Office's data on our workforce inclusion and diversity.

### Workforce inclusion and diversity at 30 June 2020

| Measure  | Value  |
|--|--------|
| Employees who are women  | 54.1%  |
| Employees from non-English speaking backgrounds                | 26.8 % |
| Employees with disability                                      | 0.5%   |
| Employees who identify as Aboriginal or Torres Strait Islander | 0.5%   |

Further information on how we manage, develop, and support our people is on page 6 of this report.

## Information systems, security, and record keeping

Effective record keeping gives us confidence that we have the evidence we need to support our decisions and the results of our audit work. During 2019–20, we continued to improve our recordkeeping practices by:

- implementing the principles of the records governance policy
- increasing our use, and reliance on, born-digital and digitised records to support our business activities
- trialing the disposal of source (paper) records after digitisation, for example, low-risk financial records (for example, tax invoices)
- continuing to develop an information security management system to preserve the confidentiality, integrity, and availability of our information assets
- communicating roles and responsibilities for creating, managing, and disposing of public records in our records management policy
- providing mandatory training to all new staff and mandatory refresher courses to existing staff members via an online training platform
- managing all formats of public records in accordance with our recordkeeping framework.
- implementing more paper-light office activities to support our activity-based work environment
- furthering our use of electronic signatures and document approvals.

We have worked collaboratively with Queensland State Archives to review components of the QAO retention and disposal schedule. These changes were endorsed by the State Archivist on 20 September 2020 and better support our business needs/activities.



## Audit and Risk Management Committee

The below table provides the membership details of the Queensland Audit Office's Audit and Risk Management Committee.

### Audit and Risk Management Committee 2019–20

| Member            | Term                | Meetings attended | Remuneration as per appointment letter pa* | Remuneration paid 2019–20 including indexed inflation* |
|-------------------|---------------------|-------------------|--|--|
| Ian Rodin (Chair) | Nov 2017– Nov 2020  | 4                 | \$14,000                                   | \$14,240   |
| Russell Banham    | Nov 2017– Nov 2020  | 4                 | \$5,000                                    | \$5,160  |
| Jeff Duthie       | Sept 2018– Aug 2021 | 4                 | \$5,000                                    | \$5,160  |

\*All amounts exclude GST and do not include reimbursement of out-of-pocket expenses.

Further information on the functions of the Audit and Risk Management Committee is on page 10 of this report.

### QAO Audit Quality sub-committee

| Member                 | Meetings attended | Remuneration as per appointment letter pa* |
|------------------------|-------------------|--|
| Russell Banham (Chair) | 2                 | \$5,000                                    |
| Ian Rodin              | 2                 | \$4,000                                    |
| Rajnish Padarath       | 2                 | Nil  |

\*All amounts exclude GST and do not include reimbursement of out-of-pocket expenses.

